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Section 11
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Havenga et al.

Serial No.: 09/928,262

Filed: August 10, 2001

For: GENE DELIVERY VECTORS
WITH CELL TYPE SPECIFICITY FOR
PRIMARY HUMAN CHONDROCYTES

Examiner: To be assigned

Group Art Unit: 1744

Attorney Docket No.: 4509.1US

CERTIFICATE OF MAILING

I hereby certify that this paper or fee along with any attachments referred to or identified as being attached or enclosed is being deposited with the United States Postal Service as First Class Mail (under 37 C.F.R. § 1.8(a)) on the date of deposit shown below with sufficient postage and in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

11-27-01
Date of Deposit

Lynette Eliason
Signature of registered practitioner or other person having reasonable basis to expect mailing to occur on date of deposit shown pursuant to 37 C.F.R. § 1.8(a)(1)(ii)

Lynette Eliason
Typed/printed name of person whose signature is contained above

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

Attention: Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

In connection with the above-referenced patent application, enclosed is a copy of the Notice to File Missing Parts of Nonprovisional Application, and Check No. 1447 in the amount of \$65.00 for the surcharge, along with a Declaration and Power of Attorney Patent Application. These documents are being filed within two (2) months from the mailing date of the Notice to File Missing Parts of Nonprovisional Application.

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SMALL ENTITY NOTIFICATION

Applicants hereby notify the Office that, with regard to the invention claimed in this patent application, the patent application's assignee has small entity status for purposes of paying reduced fees in the United States Patent & Trademark Office.

If the amount of the check is insufficient, please debit Deposit Account No. 20-1469 for the remainder of the fee. If the amount is in excess of that required, please credit that deposit account.

Respectfully submitted,



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Date: November 27, 2001

ACT/le

Enclosures: Copy of Notice To File Missing Parts of Nonprovisional Application
Check No. 1447 in the amount of \$65.00
Declaration



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/928,262	08/10/2001	Menzo Jans Emko Havenga	4509.1US

24247
TRASK BRITT
P.O. BOX 2550
SALT LAKE CITY, UT 84110

CONFIRMATION NO. 6584

FORMALITIES LETTER



OC000000006801462

Date Mailed: 09/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37-CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/13/2002 MMARMOL 00000002 201469 09928262

01 FC:105 65.00 CH 65.00 OP

03/13/2002 MMARMOL 00000002 201469 09928262
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Adjustment Date: 03/13/2002 MMARMOL
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